

# **Title:** Postural Reactions of the Trunk Muscles to Multidirectional Perturbations in Sitting

**Authors:** Kei Masani<sup>1,2</sup>, Vivian W. Sin<sup>1</sup>, Albert H. Vette<sup>1</sup>, T. Adam Thrasher<sup>3</sup>, Noritaka Kawashima<sup>1,4</sup>, Alan Morris<sup>2</sup>, Richard Preuss<sup>1,2</sup>, and Milos R. Popovic<sup>1,2</sup>

## **Affiliations:**

<sup>1</sup> Rehabilitation Engineering Laboratory,  
Institute of Biomaterials and Biomedical Engineering, University of Toronto  
164 College Street, Toronto, Ontario, M5S 3G9, Canada

<sup>2</sup> Rehabilitation Engineering Laboratory,  
Lyndhurst Centre, Toronto Rehabilitation Institute  
520 Sutherland Drive, Toronto, Ontario, M4G 3V9, Canada

<sup>3</sup> Health & Human Performance, University of Houston,  
3855 Holman Street, Garrison Rm 104, Houston, TX, 77204-6015, USA

<sup>4</sup> Japan Society for the Promotion of Science,  
6 Ichibancho, Chiyoda-ku, Tokyo 102-8471, Japan

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## **Correspondence to:**

Kei Masani PhD  
Rehabilitation Engineering Laboratory, Lyndhurst Centre,  
Toronto Rehabilitation Institute,  
520 Sutherland Drive, Toronto, ON, M4G 3V9, Canada  
Phone: +1-416-597-3422 ext 6213, Fax: +1-416-425-9923  
E-mail: k.masani@utoronto.ca

# 1 **Abstract**

2 *Background:* The dynamic role of the trunk musculature, with respect to stability, has not  
3 been fully explored to date. The purpose of this study was, using a transient and  
4 multi-directional perturbation, to: 1) quantify the tonic level of activity in the superficial  
5 trunk musculature prior to any perturbation; 2) quantify the phasic activity in those same  
6 muscles following application of a transient, horizontally directed load; and 3) quantify the  
7 direction-dependent behavior of this phasic response.

8 *Methods:* Twelve healthy individuals were perturbed during sitting via a chest harness in  
9 eight horizontal directions. Surface electromyograms were measured bilaterally from the  
10 abdominal (rectus abdominis, internal and external obliques) and back musculature  
11 (thoracic and lumbar erector spinae) to determine the tonic muscle activity prior to  
12 perturbation, and the phasic response following perturbation. A descriptive model was used  
13 to characterize the relationship between the phasic response of the muscles due to  
14 perturbation and the pulling direction.

15 *Findings:* Tonic activity in the trunk musculature in upright sitting is low, but still above  
16 resting levels by at about 1-3 % of the MVC for the abdominal muscles, and 4-6 % for the  
17 back muscles. Each trunk muscle also showed a direction-specific, phasic activation in  
18 response to perturbation, above these tonic levels of activation. This phasic activation was  
19 accurately modeled using a descriptive model for each muscle.

20 *Interpretation:* The obtained muscle activation level and the identified descriptive model  
21 will be applied in the design of a closed-loop controller for Functional Electrical  
22 Stimulation.

23 **Key Words:** Human, Sitting, Balance, Motor Control

# 1 **Introduction**

2 The instability of the trunk is a major problem for people with spinal cord injuries (SCI).  
3 Most spinal cord lesions situated above the first lumbar vertebra will cause full or partial  
4 paralysis of the lumbar musculature. As a result, the lumbar muscles cannot produce  
5 sufficient trunk stability. Trunk stability has received a great deal of attention in both the  
6 clinical and scientific literature. Generally, this research has had two primary foci:  
7 inter-vertebral instability in mechanical back pain (Cholewicki and McGill, 1996); and  
8 postural instability in neurological conditions such as stroke (Verheyden et al., 2007) and  
9 spinal cord injury (Seelen et al., 1997). The current study fits in this second group, and  
10 represents a preliminary step in the development of a controller for a functional electrical  
11 stimulation (FES) system to support a sitting posture following a lesion of the spinal cord  
12 above the first lumbar level. This work specifically focuses on the role of trunk  
13 musculature in maintaining an upright sitting posture following a transient horizontal  
14 perturbation.

15 Tonic muscle co-activation has been shown to provide postural stability to the trunk,  
16 often without the need for any additional perturbation-specific phasic response (Stokes et  
17 al., 2000). Under most conditions, however, excessive co-activation may be viewed as  
18 inappropriate, as it does not leave the trunk free to move or bend, and may lead to rapid  
19 muscle fatigue (Hunter et al., 2004). Furthermore, the resulting decrease in trunk  
20 compliance may necessitate an increase in the forces required to adequately stabilize the  
21 pelvis/hips complex in sitting (which will be addressed in future work). A more desirable  
22 strategy, therefore, may be one in which low levels of spine stiffness, sufficient only to  
23 prevent immediate spine buckling, are maintained by tonic activation of the musculature,  
24 with phasic, feedback-driven muscle activation occurring in response to  
25 perturbations (Preuss et al., 2005).

26 To date, the phasic electromyographic (EMG) responses of various trunk muscles have  
27 been investigated in both sitting and standing, using a variety of loading and perturbation

1 techniques (Brown et al., 2003, Cresswell et al., 1994, Mannion et al., 2000, McMulkin et  
2 al., 1998, Preuss and Fung, 2007, Radebold et al., 2000, Stokes et al., 2006, Stokes et al.,  
3 2000, Thomas et al., 1998, Vera-Garcia et al., 2007, Zedka et al., 1998). One common  
4 finding is that the trunk muscles tend to respond differently depending on the direction in  
5 which they are loaded. This direction-dependent behavior, however, has yet to be  
6 quantified to the extent required to implement a controller capable of mimicking this  
7 physiological action. This quantitative analysis is particularly important, given that the  
8 anatomy of the trunk musculature suggests that these muscles may act across a wide range  
9 of perturbation directions (Stokes and Gardner-Morse, 1999).

10 In order to develop a controller for an FES system for the superficial trunk muscles, the  
11 tonic activity in quiet sitting must be quantified, along with the phasic response for multiple  
12 directions of perturbation. The purpose of this study was to: 1) quantify the tonic level of  
13 activity in the superficial trunk musculature prior to any perturbation; 2) quantify the phasic  
14 activity in those same muscles following application of a transient, horizontally directed  
15 load; and 3) quantify and model the direction-dependent behavior of this phasic response.

16

## 17 **Methods**

### 18 **Subjects**

19 Twelve healthy male adults (age: 21-39 years; height: 178.0 (SD 4.7) cm; body mass:  
20 70.3 (SD 10.0) kg) were recruited from university students and postdoctoral fellows.  
21 Subjects were excluded if they had any prior diagnosis of neurological disease, injury or  
22 impairment; any prior diagnosis of spinal scoliosis or other condition affecting spine  
23 posture; and/or any prior history of acute or chronic back pain. All subjects gave informed  
24 consent prior to participation. The experimental procedures used in this study were  
25 approved by the local ethics committee.

### 26 **Measurements**

27 Surface EMG was acquired using two AMT-8 EMG systems (Bortec Biomedical Ltd.,

1 Calgary, Canada) with a total amplifier gain of 2000 (including pre-amplification), a  
2 frequency response of 10 to 1000 Hz, and a common mode rejection ratio of 115 dB (at 60  
3 Hz). Disposable silver-silver chloride surface electrodes (10mm diameter) were placed in  
4 a bipolar configuration (18mm fixed inter-electrode distance) over the bilateral rectus  
5 abdominis (RA) (vertical alignment, 3cm lateral to the umbilicus), external oblique (EO)  
6 (aligned 45 deg to vertical, 15cm lateral to the umbilicus), internal oblique (IO) (aligned 45  
7 deg to vertical at the midpoint between the anterior superior iliac spine and the symphysis  
8 pubis, above the inguinal ligament), thoracic erector spinae (T9) (vertical alignment, 5cm  
9 lateral to the T9 spinous process), and lumbar erector spinae (L3) (vertical alignment, 3cm  
10 lateral to the L3 spinous process). A reference electrode was placed over the clavicle.

11 Perturbations were applied via a manual pull, using a rope attached to a body harness  
12 surrounding the subject's chest, below the axilla. Tensile force in the rope was recorded  
13 by an in-series force transducer (Sensor: MLP-100-CO-C, Transducer Techniques,  
14 Temecula, USA; Amplifier; Model 9243, Burster, Germany) with a range of  $\hat{A}\pm 444.8$  N,  
15 and an output from 0 V to 10 V. The resulting perturbation force profile resembled an  
16 impulse function.

17 All data were synchronously acquired, at a sampling frequency of 2000 Hz, using a  
18 64-channel, 12-bit analog-to-digital converter (NI 6071E, National Instrument, Austin,  
19 USA) and custom-designed data acquisition software embedded in LabView (National  
20 Instruments, Austin, USA).

## 21 **Protocol**

22 Resting EMG levels were recorded for 30s with the subjects supine, fully relaxed, eyes  
23 closed and without speaking. Maximum voluntary isometric contractions (MVC) were  
24 then performed according to (Davidson and Hubley-Kozey, 2005, McGill et al., 2006).  
25 MVC for the abdominal muscles were performed in a bent-knee sit-up position, with the  
26 trunk flexed to  $\sim 45$  deg, and the arms placed across the chest. The subjects were asked to  
27 produce maximal isometric efforts in trunk flexion, left lateral bend and right lateral bend,  
28 with trunk movement restrained by one researcher, and the feet secured by a second.

1 MVC for the erector spinae were performed with the subjects prone, with their hips at the  
2 edge of the test bench and their arms placed across the chest. The subjects were asked to  
3 produce a maximal isometric effort in trunk extension against symmetrical manual  
4 resistance provided to the upper thoracic region by one researcher, with the legs secured by  
5 a second. Each MVC effort was held for approximately 3s, and was repeated 3 times.

6 Perturbation trials began only after a sufficient period of rest (based on the subjects'  
7 feedback), in order to minimize any potential effect of muscle fatigue. The experimental  
8 set-up is illustrated in Fig. 1A. Subjects were seated with their eyes closed, the lower legs  
9 unsupported and the arms crossed over the chest. Instructions were to "...sit up tall, and  
10 imagine a string attached to the top of your head pulling you up..." and to "...try and keep  
11 your neck and shoulders relaxed." To minimize the effect of auditory cues, subjects wore  
12 headphones, playing asynchronous nature sounds, and were asked to count out loud at  
13 various prescribed intervals. The pelvis was surrounded by a wooden restraint, which  
14 provided a margin of safety, but did not contact or constrain the pelvis.

15 Each subject experienced a total of 40 perturbation trials (8 directions, 5 trials each)  
16 randomly ordered, with a rest period provided every 10 trials. Perturbations were  
17 delivered manually, via ropes attached to a harness placed around the subject's chest, just  
18 inferior to the axilla. In order to minimize any anticipatory postural adjustments, ropes  
19 were cocked by two researchers in both the intended pulling direction (where the force  
20 transducer was attached) and in a second direction randomly selected among the remaining  
21 seven directions (Fig. 1A). Tension in the latter was reduced to zero when the  
22 perturbation was initiated. All perturbations were performed by the same researcher. The  
23 subjects confirmed post-testing that they were not able to anticipate the direction of the pull.

## 24 **Data Analysis**

### 25 **Perturbation Force**

26 Prior to analysis, force transducer data was low-pass filtered at a cut-off frequency of  
27 10Hz, using a 4th-order, zero-phase lag Butterworth filter. Onset of perturbation was  
28 determined from the first derivative of this signal, as the point closest to the peak value

1 which first exceeded 12 N/s. This threshold was selected based on data collected in  
2 preliminary studies. A time window of 1.0 s before and 3.0 s after perturbation onset was  
3 selected for subsequent analyses. For each subject, the peak force over this period was  
4 averaged over the 5 trials for each perturbation direction. A one-way repeated-measures  
5 ANOVA, with the peak perturbation force as the dependent variable, and the direction of  
6 perturbation as the independent variable, was performed to determine the consistency of the  
7 perturbation force across directions.

### 8 **EMG**

9 Prior to analysis, all acquired EMG signals were rectified, and low-pass filtered at a  
10 cutoff frequency of 2.5 Hz (Vera-Garcia et al., 2006) using a 4th-order, zero-phase-lag  
11 Butterworth filter. Resting EMG levels and MVC values were taken as the mean  
12 amplitude of the signals acquired during these procedures, with the maximum value from  
13 the MVC trials, for each muscle, used for normalization purposes.

14 For each subject, the pre-processed EMG for each muscle was averaged across the 5  
15 trials in each perturbation direction. These averaged values were then normalized  
16 according to the following procedure (Dewald et al., 1995, Vasavada et al., 2002):

$$18 \quad \text{normalized EMG} = (\text{EMG-resting value}) / (\text{MVC value-resting value}) \times 100$$

19  
20 The mean EMG amplitude, over the 0.25s window immediately preceding perturbation  
21 onset, was initially used to describe the tonic activity of each muscle, for each upcoming  
22 perturbation direction. A one-way repeated-measures ANOVA was then run with these  
23 values as the dependent variable, and the upcoming perturbation direction as the  
24 independent variable, in order to confirm that no direction-specific anticipatory effects were  
25 present. As no significant main effect of direction was found ( $p=0.093$  to  $0.662$ ), the tonic  
26 activity for each muscle was taken as the mean of these values across all perturbations  
27 directions. This tonic activity, for each subject and each muscle, was then subtracted from  
28 the corresponding EMG signals, for all directions of perturbation. The phasic response for

1 each muscle was then determined as the peak value of the remaining signal over the 0.5s  
2 window immediately following the onset of the perturbation.

3 Prior to further statistical analysis, the perturbation directions for the left-sided muscles  
4 were named for the angle of pull in a clockwise direction (with 0 as the anterior direction of  
5 pull), and the directions for the right-sided muscles were similarly named, but in a  
6 counter-clockwise direction (Fig. 3, inset). This was done to allow for direct comparison of  
7 the symmetry of the response for the bilateral musculature.

8 A two-way repeated-measures ANOVA was then performed on the tonic trunk muscle  
9 activity, with activation level as the dependent variable, and the muscle and side (left vs.  
10 right) as the independent variables.

11 Three-way repeated-measures ANOVA was performed on the phasic response of the  
12 trunk musculature, with activation level as the dependent variable, and the direction of  
13 perturbation, the muscle and the side of the muscle (left vs. right) as the independent  
14 variables.

### 15 **Curve-fitting**

16 The relationship between the phasic response and the perturbation direction for each muscle,  
17 for each subject, was modeled using the Gaussian function:

18

$$19 \quad y = a + b \exp^{-(x-c)/d^2)}, \quad (1)$$

20

21 where  $y$  is the phasic response,  $x$  is the angle of the pulling direction, and  $a$ ,  $b$ ,  $c$ ,  $d$  are the  
22 coefficients of the function. The interpolation between data points provided by this  
23 modeling approach was used to provide a more accurate, quantitative estimate of the  
24 direction-specificity of the observed phasic response. The specific coefficients of interest  
25 were ‘ $c$ ’, which represents the direction in which a maximum phasic response would be  
26 expected, and ‘ $d$ ’, which represents the estimated width of the Gaussian function at  
27 half-maximum amplitude (i.e. the range of perturbation directions for which muscle

1 activation will be at least half of the value at ‘c’). This function was chosen based on a  
2 preliminary visual examination of the data, and on previously reported direction-specific  
3 behavior in the trunk musculature (e.g. Preuss and Fung, 2007). The curve-fitting was  
4 executed using a Levenberg-Marquardt algorithm to search for the coefficient values that  
5 minimize  $\chi$ -square (Kaleidagraph ver. 3.6.4, Synergy Software, USA). For the left and  
6 right-sided muscles, the directions of perturbation were named as described above (Fig. 3,  
7 inset).

8 Two-way repeated measures ANOVA were performed for the parameters ‘c’ and ‘d’,  
9 using only the data for which a statistically significant fit was obtained using the  
10 curve-fitting approach described above. For these analyses, the independent variables  
11 were muscle and side of the body (left and right).

12

13 All of the statistical analyses described above were performed in Statsview (version 5,  
14 SAS Institute Inc., USA). Post hoc analyses, where applicable, were carried out using a  
15 Bonferroni-Dunn test. Analyses were performed using an  $\alpha$ -level of 0.05 for all tests.

16

## 17 **Results**

### 18 **Missing Data**

19 Surface EMG data from certain muscles (LL3 for 3 subjects, LT9 for one subject) showed  
20 inexplicably high levels of tonic activation (>100 %MVC). For the subjects in question,  
21 these muscles, along with their right-sided counterparts, were excluded from subsequent  
22 analysis.

### 23 **Perturbation Force**

24 The peak perturbation force was significantly different between directions of perturbation  
25 ( $p<0.0001$ ), with group means ranging from 131 N to 148 N (Fig. 1B). Post-hoc analysis  
26 found that forces were often significantly higher in the diagonal directions than in the

1 non-diagonal (in-plane) directions (Fig. 1B). The intra-subject variation among directions  
2 was  $7.8 \pm 2.2$  % (coefficient of variation) on average, while the intra-direction variation  
3 among subjects was  $21.5 \pm 1.9$  %.

#### 4 **Tonic Activity**

5 Tonic EMG levels were significantly different between trunk muscles (main effect of  
6 muscle:  $p < 0.0001$ ), but generally symmetrical (no main effect of side:  $p = 0.825$ ; and no  
7 interaction effect between muscle and side:  $p = 0.929$ ) (Fig. 1C). The differences between  
8 trunk muscles were roughly explicable by differences between the abdominal muscles (1 %  
9 to 3 %MVC) and those of the back (4 % to 6 %MVC). Post-hoc analyses found bilateral  
10 tonic levels for L3 to be higher than for all of the abdominal muscles, while those for T9  
11 were higher than for EO alone.

#### 12 **Phasic Response**

13 Fig. 2 illustrates the group ensemble average traces (all subjects) of the phasic response to  
14 perturbation, along with the pulling forces (bottom row) for each direction.

15 The phasic response for the trunk muscles was highly direction dependent (main effect  
16 of direction:  $p < 0.0001$ ) and symmetrical (no main effect of side:  $p = 0.563$ ). Significant  
17 differences were also found in the phasic activation levels of the trunk muscles tested (main  
18 effect of muscle:  $p < 0.0001$ ). The phasic response for all muscles and directions is  
19 illustrated in Fig. 3.

#### 20 **Curve-fitting**

21 The results of the curve fitting were statistically significant for most subjects and muscles,  
22 with certain notable exceptions: LT9 for one subject, LL3 for three subjects, and RIO for  
23 one subject ( $r < 0.632, df = 8$ ). Additionally, there were obvious outliers in two cases:  $b$  of  
24 REO for one subject and  $b$  of RL3 for one subject. These muscles were eliminated from the  
25 descriptive analysis and from the ANOVA tests. Taking into account the missing data  
26 addressed above, the total number of subjects for the subsequent results were 6 for the  
27 left-sided muscles and 7 for the right-sided muscles. For the remaining cases, the

1 correlation coefficient for the curve-fitting was strongly significant ( $p<0.0001$  for all).  
2 These results are presented in Table 1. The results of the curve-fitting for a representative  
3 subject are shown in Fig. 4.

#### 4 **Direction of the Maximum Phasic Response (coefficient ‘c’)**

5 For these interpolated results, the direction of the maximum phasic response ( $c$ ) was  
6 significantly different between muscles (main effect of muscle:  $p<0.0001$ ), but was  
7 generally symmetrical (no main effect of side:  $p=0.358$ , and no significant interaction  
8 between muscle and side:  $p=0.393$ ) (Fig. 5A). For the abdominal muscles, the phasic  
9 response was strongest for posterior perturbations oriented slightly contralateral to the  
10 midline, with a progressive shift in orientation to the contralateral side for the RA, EO and  
11 IO, respectively. For the back muscles, the phasic response was strongest for anterior  
12 perturbations oriented approximately 30 deg contralateral to the midline.

#### 13 **Range of Phasic Response (coefficient ‘d’)**

14 The range of the phasic response ( $d$ ) was also significantly different between muscles  
15 ( $p<0.0001$ ), but generally symmetrical (no main effect of side:  $p=0.051$ ; and no significant  
16 interaction between muscle and side:  $p=0.405$ ) (Fig. 5B). EO and IO had the widest  
17 distribution of directions for their half-maximum phasic response, while RA, T9 and L3  
18 responded in a more focused manner.

19

## 20 **Discussion**

21 The purpose of this study was to quantify the response of the superficial trunk musculature  
22 to transient, multi-directional loading via a chest harness. We demonstrated that tonic levels  
23 of trunk muscle activation, above resting levels, were observed in the quiet sitting posture,  
24 suggesting that a degree of muscle activation is required to support spine and postural  
25 stability in the unperturbed, upright posture. We also demonstrated that a phasic,  
26 direction-specific increase in trunk muscle activation, above tonic levels, was observed  
27 following perturbation, suggesting that tonic levels of muscle activation provide only a

1 limited margin of stability, while postural stability following perturbation requires a specific,  
2 dynamic response from the trunk musculature. Further, especially for the purpose of  
3 designing a controller for an FES system for trunk muscles, we identified the descriptive  
4 model for the direction-dependent phasic activity for each muscle.

## 5 **Tonic Activity**

6 Tonic levels of trunk muscle activation, prior to perturbation, were found to be low:  
7 generally between 1 % and 6 % MVC. These values are in line with those predicted by  
8 different trunk models for the maintenance of a stable, upright posture (Cholewicki and  
9 McGill, 1996, Cholewicki et al., 1997, Gardner-Morse and Stokes, 1998, Granata and  
10 Wilson, 2001). Furthermore, these levels of tonic activation appear practical as a means to  
11 ensure postural stability in quiet sitting, as they can likely be maintained for prolonged  
12 periods of time without inducing muscular fatigue (Hunter et al., 2004, Kahn et al., 1997).

13 The inter-muscular differences in tonic activation levels observed in the current study  
14 (Fig. 1C) are likely explained by two factors. First, the centre of mass of the trunk falls  
15 somewhat anterior to the vertebral bodies, particularly at thoracic levels (Pearsall et al.,  
16 1996). Add to this the fact that the arms were crossed over the subjects' chest, rather than  
17 by the sides, and the higher levels of tonic activation in the erector spinae, compared with  
18 the abdominal musculature, may have simply been the result of the equilibrium  
19 requirements of the posture. Second, the instructions to the subjects to "sit up tall", as  
20 opposed to the somewhat more relaxed (and common) slouched or slumped posture, may  
21 have led to elevated levels of tonic activity in the back muscles (O'Sullivan et al., 2006,  
22 O'Sullivan et al., 2002).

## 23 **Phasic Response**

24 As expected, a phasic increase in trunk muscle activation, above tonic levels, was observed  
25 following perturbation (Fig. 2), with this phasic response being highly direction dependent  
26 for all muscles tested (Fig. 3). The results of the curve-fitting of this phasic response also  
27 found the direction of maximum response (coefficient 'c') to be significantly different

1 between the different trunk muscles (Fig. 5A), suggesting a unique mechanical action for  
2 each trunk muscle studied. This assertion is further strengthened by the finding that the  
3 range of the phasic response (coefficient 'd') was also significantly different between  
4 muscles (Fig. 5B). This is, to our knowledge, the first quantitative data providing both a  
5 direction of maximum response for the trunk musculature, outside of the constraints of the  
6 actual directions tested, as well as a quantitative description of the relative distribution of  
7 the response amplitude relative to direction.

8 Although several previous studies have investigated the phasic response of the trunk  
9 musculature following perturbation (Brown et al., 2003, Cresswell et al., 1994, Mannion et  
10 al., 2000, McMulkin et al., 1998, Preuss and Fung, 2007, Radebold et al., 2000, Stokes et al.,  
11 2006, Stokes et al., 2000, Thomas et al., 1998, Vera-Garcia et al., 2007, Zedka et al., 1998),  
12 only a few studies tested perturbations in multiple directions. McMulkin et al. (1998)  
13 reported trunk muscle responses to perturbations in multiple directions during standing, and  
14 showed different muscle response amplitudes among perturbation directions. The direction  
15 dependency of the phasic response was not quantified, however, as the main purpose of  
16 their study was to compare two different perturbation methods (applied via a harness or to  
17 subjects' hands). Thomas et al. (1998) did conclude that the EMG amplitude of the muscle  
18 response of erector spinae was dependent on the perturbation direction. This was based,  
19 however, on loading from only two directions: forward and 45 deg right of forward. Stokes  
20 et al. (2006) investigated responses of trunk muscles to perturbations in multiple directions,  
21 in standing, under preloaded conditions, and also found that the muscle response depended  
22 on the pulling direction. Preuss and Fung (2007) recently investigated the phasic response  
23 of the trunk musculature, using multi-directional translations of the support surface (8  
24 directions, similar to the current study), in both sitting and standing. These authors  
25 concluded that the trunk musculature responds to perturbation not only in a  
26 direction-specific manner, but in a manner specific to the actual perturbation experienced  
27 by the trunk depending on the given motor task. As such, these results should be viewed as  
28 highly complementary to the results of the current study, given the difference between the

1 perturbation paradigms. The application of the Gaussian fit model to the results of the  
2 current study also builds upon these previous results, providing more sensitive information  
3 with respect to the unique direction-specificity of the phasic activation of each trunk  
4 muscle.

5 This unique direction-specificity may be largely explained by the musculoskeletal  
6 geometry of the trunk (Stokes and Gardner-Morse, 1999). As RA, T9 and L3 span the  
7 trunk vertically, in the sagittal plane, those muscles are likely to have the greatest  
8 mechanical advantage against perturbations in the sagittal plane. Conversely, since EO and  
9 IO have fibers which cross the trunk diagonally and transversely, the range covered in the  
10 horizontal plane is wider than for RA, T9 and L3, suggesting a wider range of action for the  
11 abdominal obliques. This is supported by the values of coefficient ' $d$ ' of the Gaussian fit for  
12 these muscles (Fig. 5B). In addition to these mechanical differences, it has also been  
13 suggested that the central nervous system may tune the activation level based on the  
14 musculoskeletal geometry (Vasavada et al., 2002). This would suggest that these phasic  
15 responses are not simply a result of a mechanical interaction between an external perturbing  
16 force and a mechanical system, but represent (at least in part) a specific postural strategy  
17 adopted by the CNS, based on factors such as prior experience.

## 18 **Relevance of Findings for the Development of an FES System**

19 The findings of the current study provide several pieces of information that are essential to  
20 the development of an FES system to support a stable sitting posture following a spinal cord  
21 lesion. First, these results confirm previous observations that the tonic activation of the  
22 trunk musculature, above resting levels, is present during upright sitting. Second, a phasic  
23 response from the superficial trunk musculature is required to maintain this upright sitting  
24 posture following application of a transient, horizontally directed load. Third, this phasic  
25 response differs between muscles, but may be quantitatively modeled using a Gaussian  
26 function with respect to the distribution and relative amplitude of the response between  
27 perturbation directions. Finally, both the tonic and phasic activity of the trunk  
28 musculature, under the conditions tested, are statistically symmetrical.

1 The tonic activation observed in quiet sitting is likely present to maintain what has been  
2 termed ‘sufficient stability’ (McGill and Cholewicki, 2001): a level of stiffness sufficient to  
3 provide stability under the current loading conditions, while minimizing joint loading and  
4 the potential for muscle fatigue. This strategy may also provide a degree of pre-loading to  
5 the tendons to maximize the efficiency (i.e. minimize the electromechanical delay) of any  
6 additional phasic muscle activation. This suggests the need for an open-loop component in  
7 the design of a controller for an FES system for the trunk.

8 The phasic behavior of the trunk muscles observed in the current study also support the  
9 need for a closed-loop component for the controller of an FES system, using kinematic  
10 feedback to drive an appropriate phasic response from the trunk musculature (Vette et al.,  
11 2007). Based on these findings, it appears that this control will have to be separate for  
12 each muscle, in order to properly mimic the observed direction-specific. Further work will  
13 be required, however, in order to expand upon the potential inter-dependency of the  
14 response from each of these muscles.

## 15 **Study Limitations**

16 The use of manual perturbation represents a limitation of the current study protocol;  
17 particularly in light of the significant difference in perturbation force found across  
18 perturbation directions (Fig. 1B). The within-subject variability in these forces, however,  
19 was small (about 7 %), suggesting that the peak force was relatively consistent for each  
20 subject. Further investigation using motorized equipment with various levels of perturbation  
21 force will be required to investigate the extent of this limitation.

22 The use of surface EMG also presents a limitation for the current study. In addition to  
23 the limitations inherent to surface EMG (De Luca, 1997), the muscles of the trunk tend to  
24 be large in relation to the collection area of the electrodes, raising the possibility that  
25 activation is not uniform throughout the muscle. The abdominal obliques, in particular,  
26 have variable fiber orientation across their area, suggesting potential variability in the  
27 mechanical actions of different fiber groups within the same muscle (Dickstein et al., 2004).  
28 Certain groups have suggested multiple electrode sites for the RA and EO (Davidson and

1 Hubley-Kozey, 2005), as a means to better capture the true activation patterns of these  
2 muscles. Unfortunately this cannot be accomplished with surface EMG for muscles such as  
3 the IO, and poses particular problems with respect to normalization of the EMG signals (i.e.  
4 the collection of true MVC for each “fiber group” within the muscle). Additionally, other  
5 muscles which might contribute to the postural stability of the trunk (such as Lumbar  
6 Multifidus and Quadratus Lumborum) were not monitored. These issues will be more  
7 thoroughly addressed in future studies.

8 Finally, the pelvis and lower limbs were relatively unconstrained in the current study.  
9 Further work is required to determine the impact of different degrees of pelvic and lower  
10 limb constraint on the postural response in the trunk.

## 11 **Conclusion**

12 Tonic activity in the trunk musculature in upright sitting is low, but still above resting levels  
13 by at about 1-3 % of the MVC for the abdominal muscles, and 4-6 % for the back muscles.  
14 This suggests the need for open-loop control in the design of an FES system for sitting  
15 postural control. Each trunk muscle also showed a unique, direction-specific, phasic  
16 increase in activation in response to perturbation, above these tonic levels of activation,  
17 likely based (at least in part) on its musculoskeletal geometry. This phasic activation,  
18 however, can be accurately modeled using a descriptive model, which may be applied in the  
19 design of closed-loop controller for FES.

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## Figure Legends

**Fig. 1** A: Experimental setup. The perturbation force was applied from 8 directions (top). Two researchers cocked two ropes in two different directions (bottom). One direction was the intended pulling direction, where the force transducer was attached. The purpose of cocking the other direction was to prevent the subject from anticipating the pulling direction. To maintain consistency, all external perturbations were performed by a single researcher. B: The peak value of the perturbation force. C: The tonic activity for all muscles, across all directions of perturbation. The black bars represent the left muscles, and the grey bars represent the right muscles. Data shown in B and C are the group means  $\pm$  a standard deviation, for all directions. Results of the post-hoc multiple comparison are shown in B and C using horizontal bars.

**Fig. 2** The group ensemble averaged traces of the phasic responses for all muscles, in 8 pulling directions. Each trace is the ensemble average of all subjects. The bottom traces represent the group average pulling force in each direction.

**Fig. 3** The phasic response for all muscles and directions. The black bars represent the left muscles, and the grey bars represent the right muscles. Data are the group mean  $\pm$  a standard deviation, for each direction. The pulling directions are illustrated in the figure legend (bottom right), and are mirror-imaged for the left and right-sided muscles to allow for comparison.

**Fig. 4** Results of the curve-fitting for a single representative subject. The closed circles and the open circles represent the phasic responses of left and right-sided muscles, respectively. The thick lines and thin lines are the fitted Gaussian functions for the left and right-sided muscles, respectively. In each plot, the coefficients  $c$  and  $d$  as well as the correlation

coefficient  $r$  of the curve-fitting are shown. The pulling directions are illustrated in the figure legend (bottom right), and are mirror-imaged for the left and right-sided muscles to allow for comparison. The horizontal axes of the T9 and L3 plots have been shifted to better illustrate the fitted Gaussian functions.

**Fig. 5** The estimated angle of the maximum phasic response  $c$  (A) and the estimated width of the phasic response  $d$  (B) for all muscles. The black bars represent the left muscles, and the grey bars represent the right muscles. Data are the group mean  $\pm$  a standard deviation, for all directions. Results of the post-hoc multiple comparison are shown using horizontal bars. The pulling directions are illustrated in the figure legend (bottom), and are mirror-imaged for the left and right-sided muscles to allow for comparison.

Table 1: Results of the curve-fitting.  $a, b, c,$  and  $d$  are the coefficients in eq. 1.  $\chi^2$  and  $r$  are the  $\chi$ -square value and the correlation coefficient for the curve-fitting, respectively. **Coefficients are reported as group mean (SD).**

		$a$	$b$	$c$	$d$	$\chi^2$	$R^2$
RA	Left	0.108(0.123)	11.4(4.6)	166(6)	49.2(7.2)	0.846(0.785)	0.990(0.012)
	Right	0.295(0.342)	23.9(12.5)	172(7)	44.4(7.3)	4.69(5.93)	0.988(0.011)
EO	Left	0.225(0.490)	14.3(12.7)	150(9)	68.7(17.1)	13.7(29.0)	0.977(0.018)
	Right	0.549(0.987)	21.2(10.6)	166(10)	74.6(15.0)	22.6(26.6)	0.965(0.031)
IO	Left	1.0165(0.999)	12.9(8.7)	142(24)	88.9(35.2)	32.6(60.7)	0.845(0.169)
	Right	1.942(1.381)	16.0(4.9)	140(25)	68.3(14.8)	11.3(11.0)	0.953(0.049)
T9	Left	0.355(0.824)	10.0(6.0)	29(14)	54.9(17.7)	3.36(2.74)	0.944(0.052)
	Right	0.585(1.230)	17.5(15.2)	24(10)	45.6(17.2)	15.7(33.4)	0.924(0.082)
L3	Left	0.301(0.659)	11.1(6.1)	25(10)	42.9(10.1)	4.10(6.80)	0.950(0.068)
	Right	0.241(1.281)	19.7(20.1)	29(11)	42.2(6.6)	11.7(24.6)	0.969(0.049)

Figure 1

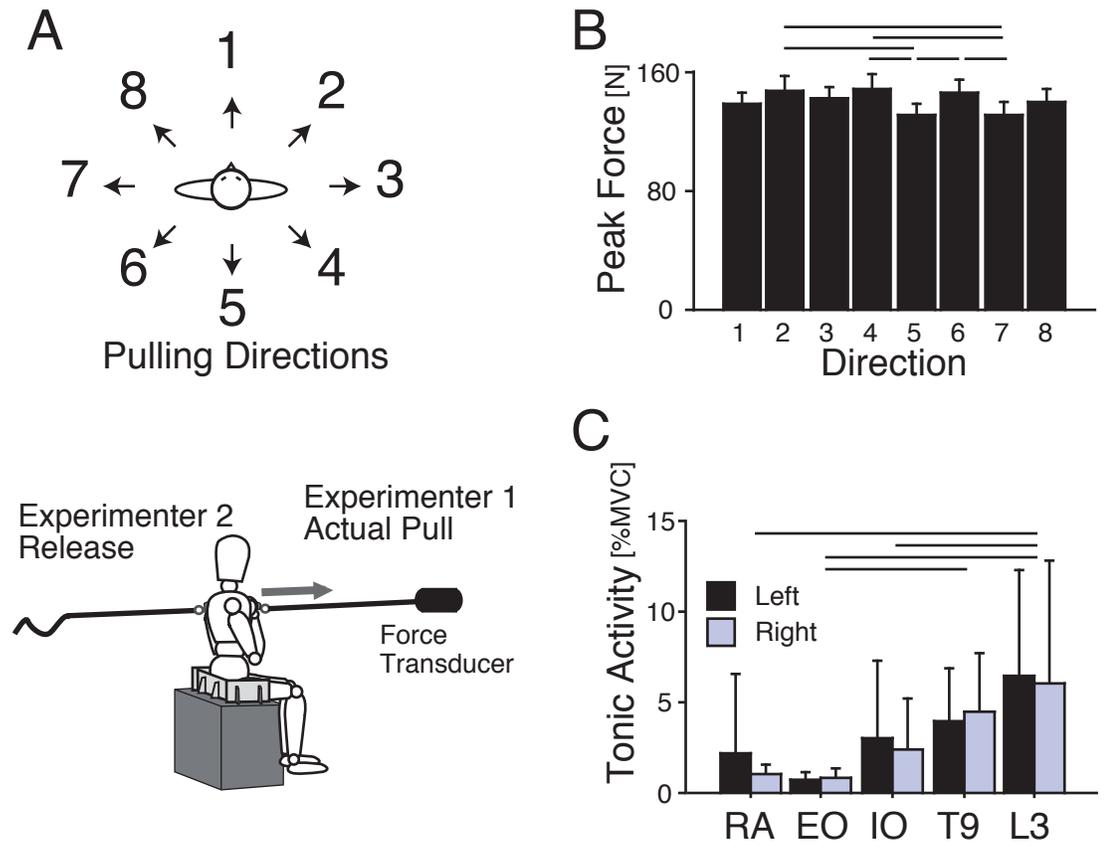


Figure 2

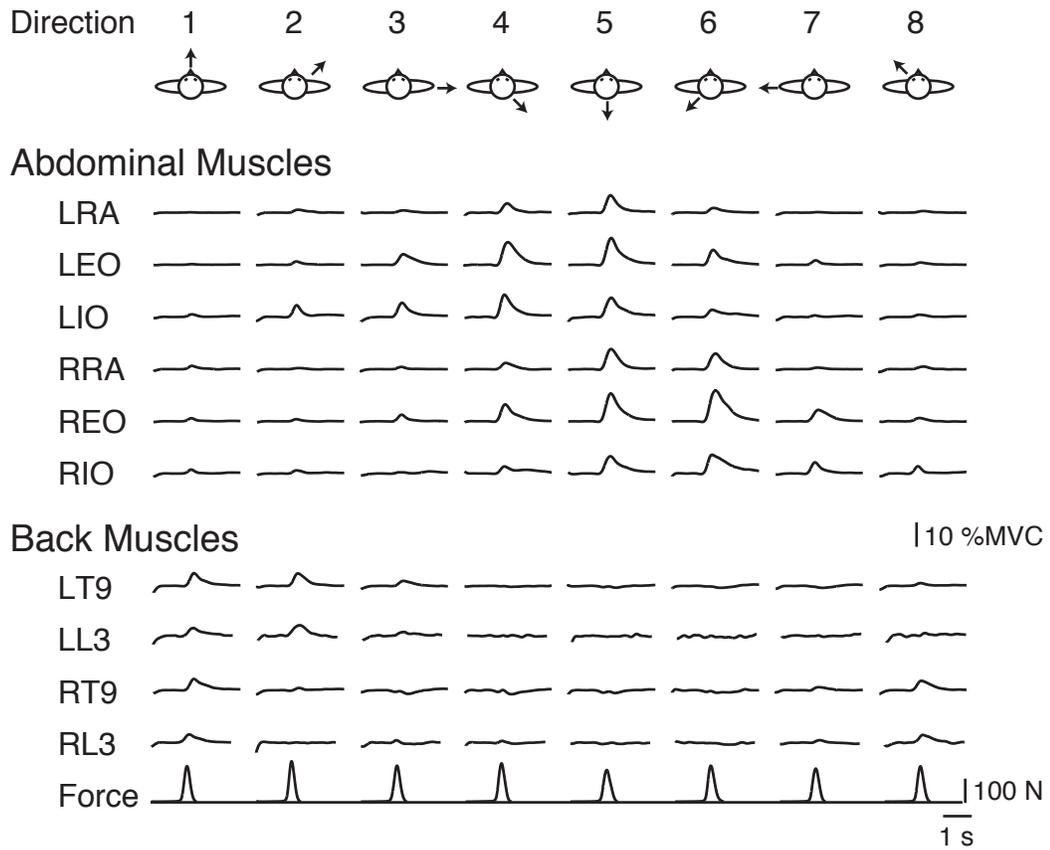


Figure 3

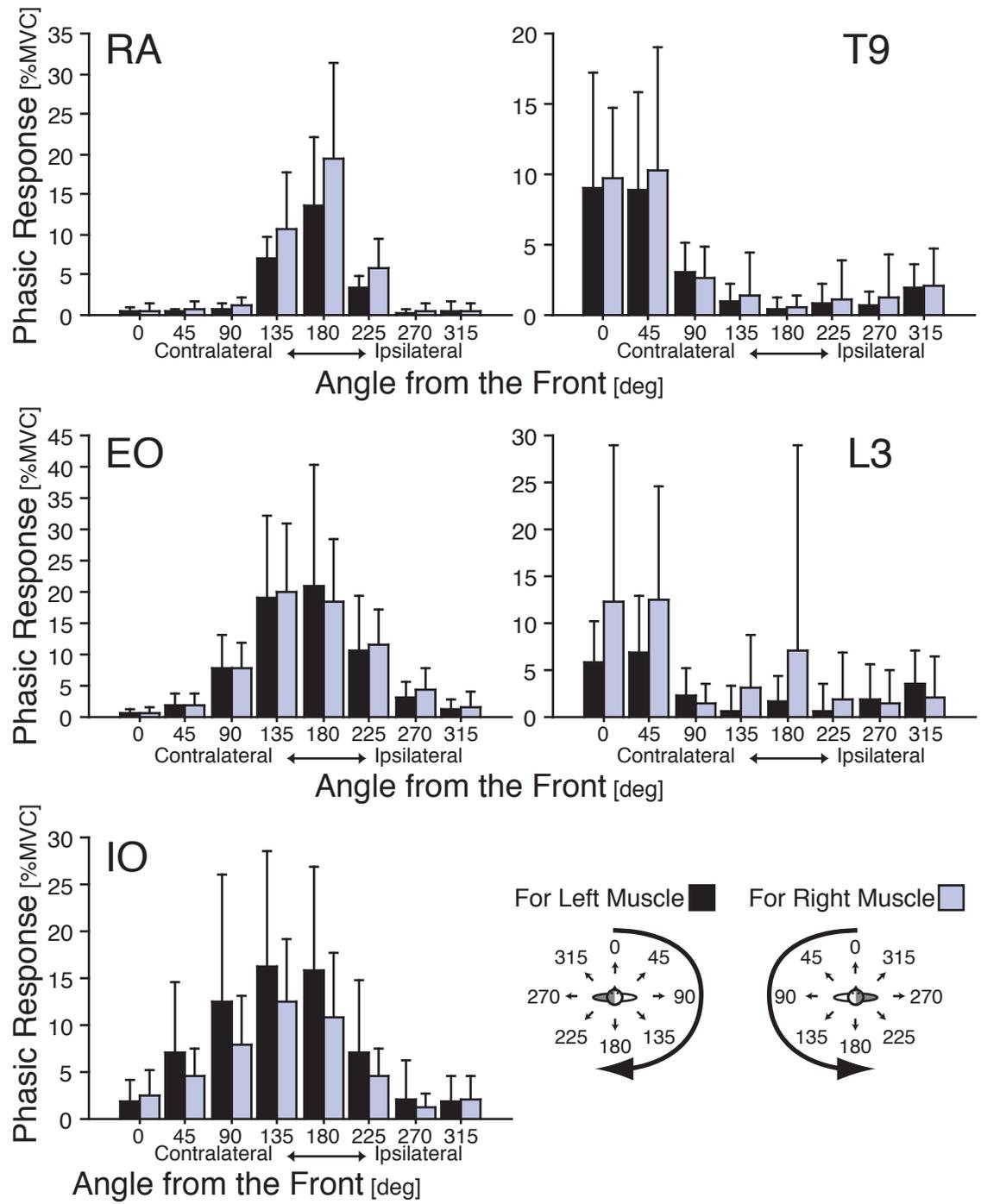


Figure 4

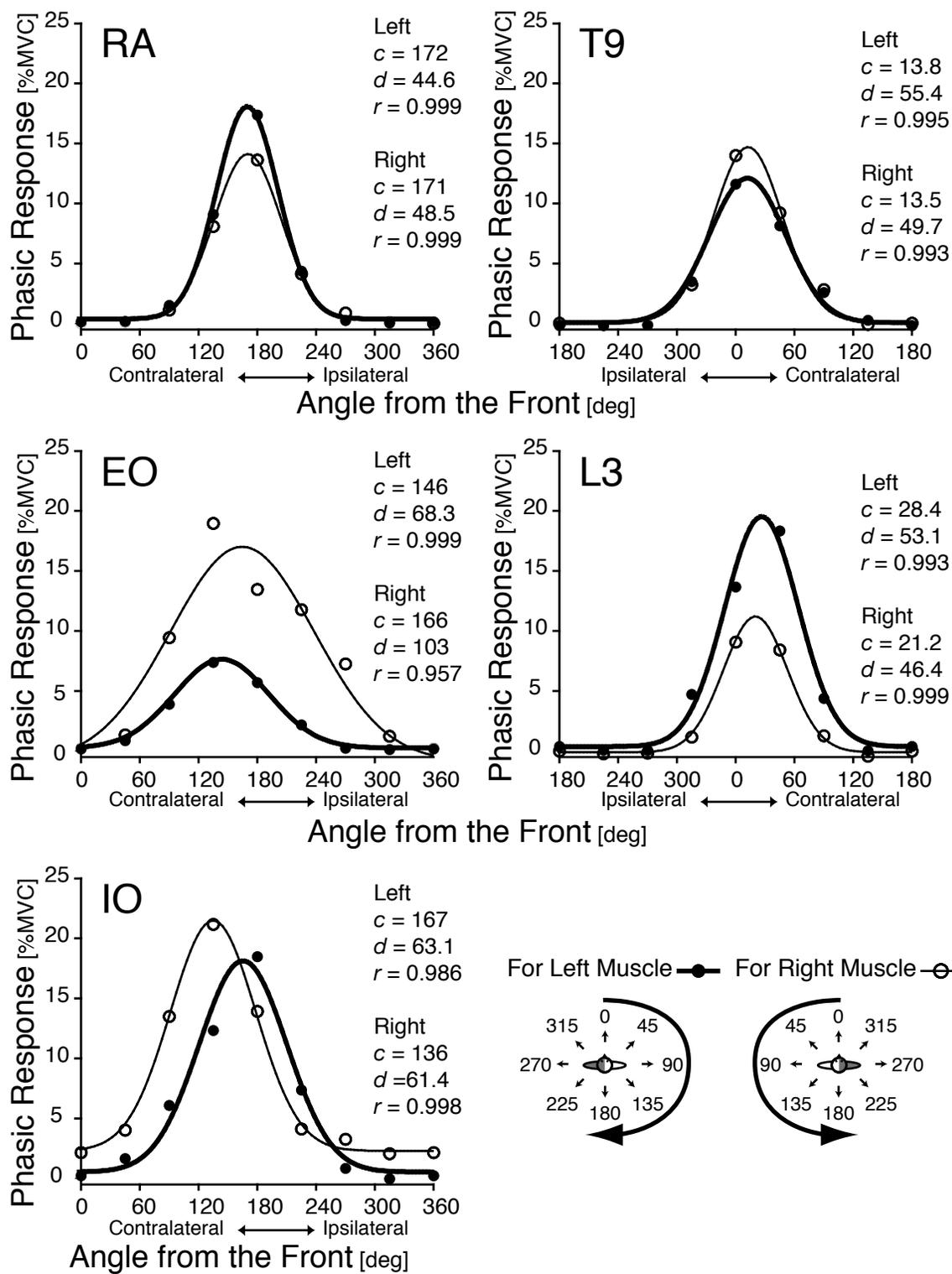


Figure 5

